

Endangered/Threatened Species - Education/Exhibition # 162

LICENSE

Under the Environmental Conservation Law (ECL)

Licensee Information

License Issued To:

CHRISTIE E SCARUPA-PRESTI MONKEY BUSINESS

NIAGARA FALLS, NY 14303

COUNTY: NIAGARA

REGION: 9

DEC Contact Information

DIVISION of FISH and WILDLIFE

SPECIAL LICENSES UNIT

625 BROADWAY, ALBANY, NEW YORK 12233-4752

PHONE: (518) 402-8985 FAX: (518) 402-8925

WEBSITE: http://www.dec.ny.gov

License Authorizations

Endangered/Threatened Species - Education/Exhibition

License # 162

Renewal Effective Date: 12/18/2014 Expiration Date: 12/17/2015

NYSDEC Approval

By acceptance of this license, the licensee agrees that the license is contingent upon strict compliance with the ECL, all applicable regulations, and all conditions included as part of this license.

License Regulations

6 NYCRR Part 182

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6 NYCRR Part 175 ECL 11-0537 ECL 11-0536 ECL 11-0535

ENDANGERED/THREATENED SPECIES - EDUCATION/EXHIBITION - LICENSE CONDITIONS

- 1. ETSEE Species Authorization Captive source The licensee is authorized to purchase, possess, transport and import the following species, that were obtained from legal sources, for educational and exhibition purposes:
- 1 Chimpanzee (Pan troglodytes), 1 Brown lemur (Eulemur fulvus), 1 Ring-tailed lemur (Lemur catta), 1 Cotton topped marmoset (Saguinus oedipus).
- **2. Definition Education** Educational shall mean possession and exhibition in order to teach or instruct about the characteristics, ecological role, or conservation needs of the listed fish or wildlife species, population or community.
- **3. Definition** Exhibition Exhibition shall mean regular public display or showing of the listed fish or wildlife species where the display itself is the chief object.
- **4. Live Animal Collection of Animals from the Wild Prohibited** This license does not authorize collection of animals from the wild in New York State.
- 5. Live Animal Release of Listed Animal(s) to the Wild Prohibited The licensee shall not release the listed animals to the wild in New York State.
- **6.** Live Animal Addition or Replacement of Animals Without Written Authorization Prohibited The licensee shall not add additional animals or replace listed animals without a written amendment to this license.
- 7. Live Animal USDA License Requirement for Mammal Exhibition The licensee shall not exhibit listed mammals to the public except under a valid USDA Animal Welfare Act License.
- **8.** Live Animal Sale and Propagation Prohibited The licensee shall not sell or propagate the listed animals.
- **9.** Live Animal Providing Care for Animal(s) The licensee shall provide food, water, care and caging facilities to ensure the physiological and psychological well-being of the listed animal(s).
- 10. Live Animal Facilities Requirement The licensee shall provide housing, holding and transport facilities designed and constructed to ensure that the listed animals cannot escape and to ensure that the public cannot come in contact with the listed animals.
- 11. Live Animal Compliance with General Municipal Law The licensee shall comply with all notifications required by the New York State General Municipal Law Section 209-cc for all listed animals subject

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to that law.

- 12. Live Animal Safeguarding Public From Attack by Animal The licensee shall exercise due care in safeguarding the public from attack by any animal held pursuant to this license. Failure to do so is a crime pursuant to New York State Agriculture and Markets Law Article 26, Section 370 which provides that: any person owning, possessing or harboring a wild animal or reptile capable of inflicting bodily harm upon a human being, who shall fail to exercise due care in safeguarding the public from attack by such wild animal or reptile, is guilty of a misdemeanor, punishable by imprisonment for not more than one year, or by a fine of not more than five hundred dollars, or by both.
- 13. Live Animal Direct Contact Prohibition The licensee shall not allow any of the listed animals to have direct contact with the public. Only the licensee and his or her designated agents may have direct contact with the listed animals.
- 14. Live Animal Direct Contact Exception for Veterinarians and USDA Personnel The licensee may allow direct contact with animals held pursuant to this license only for veterinarians and veterinary interns providing medical care and for USDA personnel in the performance of their official duties.
- 15. Live Animal Mandatory Notification to Department of Health The licensee shall immediately notify the local Department of Health if any person is bitten or scratched by any animal held under this license.
- **16.** Live Animal Authority to Designate Agents The licensee is authorized to designate agents to assist the licensee with the listed animals at the licensee's facilities and while conducting programs pursuant to this license provided that:
- a. the licensee submits a written request to the NYSDEC Special Licenses Unit at the address listed on the front of this license containing the:
 - i) name
 - ii) address
 - iii) age
 - iv) phone number of the person he or she is nominating as a designated agent, and;
- b. the licensee receives an amended license from the Special Licenses Unit listing the designated agent he or she has nominated before that person can conduct activities authorized by this license.
- 17. Live Animal Mandatory Notification of Escape The licensee shall notify the Special Licenses Unit at (518) 402-8985

within 24 (twenty-four) hours of the escape of any listed animal.

- **18.** Live Animal Recapture of Escaped Animal The licensee shall make every reasonable attempt to recapture any listed animal that escapes.
- **19.** Live Animal Animal Mortalities The licensee shall report any animal mortalities that are of unknown or suspected disease nature to the Special Licenses Unit (518) 402-8985 within twenty-four (24) hours of discovery or during normal business hours of the next business day.
- **20.** Education/Exhibition Reporting Requirement The licensee shall submit an accurate and complete Fish and Wildlife Education/Exhibition Report Form prior to the expiration date of this license. The licensee shall send this report to the NYSDEC Special Licenses Unit 625 Broadway, Albany, NY 12233-4752.

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Endangered/Threatened Species - Education/Exhibition # 162

GENERAL CONDITIONS - Apply to ALL Authorized Licenses

- 1. GC Licensee Shall Read All Conditions The licensee shall read all license conditions prior to conducting any activities authorized pursuant to this license.
- **2. GC License is Not Transferrable** This license is not transferrable and is valid only for the person identified as the licensee.
- **3.** GC Licensee Responsible for Federal, State or Local Permits/Licenses The licensee is responsible for obtaining any and all necessary, corresponding Federal, State or local permits or licenses prior to conducting any activity authorized pursuant to this license.
- **4. GC Reasons for Revocation** This license may be revoked for any of the following reasons:
- i. licensee provided materially false or inaccurate statements in his or her application, supporting documentation or on required reports;
- ii. failure by the licensee to comply with any terms or conditions of this license;
- iii. licensee exceeds the scope of the purpose or activities described in his or her application for this license;
- iv. licensee fails to comply with any provisions of the NYS Environmental Conservation Law, any other State or Federal laws or regulations of the department directly related to the licensed activity;
- v. licensee submits a check, money order or voucher for this license or application for this license that is subsequently returned to the department for insufficient funds or nonpayment after the license has been issued.
- **5. GC Licensee Shall Carry Copy of License** The licensee shall carry a copy of this license or a document provided by the department, if relevant, when conducting activities pursuant to this license.
- **6. GC Licensee Shall Notify of Change of Address** The licensee shall notify the Special Licenses Unit in writing, by mail or email, within five (5) days of the official change of residence.
- 7. GC Licensee is Liable for Designated Agents If designated agents are authorized pursuant to this license, the licensee shall be liable and responsible for any activities conducted by designated agents pursuant to this license or any actions by designated agents resulting from activities authorized by this license.
- **8. GC Licensee Renewal** The licensee shall submit a written request for the renewal of this license prior to the expiration date listed on the license. The licensee shall include accurate and complete copies of any required reports with their renewal request. This renewal paperwork shall be sent to:

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This license is deemed expired on the date of expiration listed on the license.

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Endangered/Threatened Species - Education/Exhibition # 162

NOTIFICATION OF OTHER LICENSEE OBLIGATIONS

MN- Licensee is Liable

The licensee shall be liable and responsible for any activities conducted under the authority of this license or any actions resulting from activities authorized by the license.

MN - Access by Law Enforcement

The licensee shall allow representatives of the NYS DEC Division of Law Enforcement to enter the licensed premises to inspect his or her operations and records for compliance with license conditions.

Trespassing Prohibited

This license is not a license to trespass. The licensee shall obtain permission from the appropriate landowner/land manager prior to conducting activities authorized pursuant to this license

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NIAGARA FALLS, NY 14303

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PHONE: (518) 402-8985 FAX: (518) 402-8925

WEBSITE: http://www.dec.ny.gov

License Authorizations

Endangered/Threatened Species - Education/Exhibition

License # 162

Renewal Effective Date: 1/8/2016 Expiration Date: 1/7/2017

NYSDEC Approval

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License Regulations

6 NYCRR Part 182

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6 NYCRR Part 175 ECL 11-0537 ECL 11-0536 ECL 11-0535

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- 13. Live Animal Direct Contact Prohibition The licensee shall not allow any of the listed animals to have direct contact with the public. Only the licensee and his or her designated agents may have direct contact with the listed animals.
- 14. Live Animal Direct Contact Exception for Veterinarians and USDA Personnel The licensee may allow direct contact with animals held pursuant to this license only for veterinarians and veterinary interns providing medical care and for USDA personnel in the performance of their official duties.
- 15. Live Animal Mandatory Notification to Department of Health The licensee shall immediately notify the local Department of Health if any person is bitten or scratched by any animal held under this license.
- **16.** Live Animal Authority to Designate Agents The licensee is authorized to designate agents to assist the licensee with the listed animals at the licensee's facilities and while conducting programs pursuant to this license provided that:
- a. the licensee submits a written request to the NYSDEC Special Licenses Unit at the address listed on the front of this license containing the:
 - i) name
 - ii) address
 - iii) age
 - iv) phone number of the person he or she is nominating as a designated agent, and;
- b. the licensee receives an amended license from the Special Licenses Unit listing the designated agent he or she has nominated before that person can conduct activities authorized by this license.
- 17. Live Animal Mandatory Notification of Escape The licensee shall notify the Special Licenses Unit at (518) 402-8985

within 24 (twenty-four) hours of the escape of any listed animal.

- **18.** Live Animal Recapture of Escaped Animal The licensee shall make every reasonable attempt to recapture any listed animal that escapes.
- **19.** Live Animal Animal Mortalities The licensee shall report any animal mortalities that are of unknown or suspected disease nature to the Special Licenses Unit (518) 402-8985 within twenty-four (24) hours of discovery or during normal business hours of the next business day.
- **20.** Education/Exhibition Reporting Requirement The licensee shall submit an accurate and complete Fish and Wildlife Education/Exhibition Report Form prior to the expiration date of this license. The licensee shall send this report to the NYSDEC Special Licenses Unit 625 Broadway, Albany, NY 12233-4752.

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GENERAL CONDITIONS - Apply to ALL Authorized Licenses

- 1. GC Licensee Shall Read All Conditions The licensee shall read all license conditions prior to conducting any activities authorized pursuant to this license.
- **2. GC License is Not Transferrable** This license is not transferrable and is valid only for the person identified as the licensee.
- **3.** GC Licensee Responsible for Federal, State or Local Permits/Licenses The licensee is responsible for obtaining any and all necessary, corresponding Federal, State or local permits or licenses prior to conducting any activity authorized pursuant to this license.
- **4. GC Reasons for Revocation** This license may be revoked for any of the following reasons:
- i. licensee provided materially false or inaccurate statements in his or her application, supporting documentation or on required reports;
- ii. failure by the licensee to comply with any terms or conditions of this license;
- iii. licensee exceeds the scope of the purpose or activities described in his or her application for this license;
- iv. licensee fails to comply with any provisions of the NYS Environmental Conservation Law, any other State or Federal laws or regulations of the department directly related to the licensed activity;
- v. licensee submits a check, money order or voucher for this license or application for this license that is subsequently returned to the department for insufficient funds or nonpayment after the license has been issued.
- **5. GC Licensee Shall Carry Copy of License** The licensee shall carry a copy of this license or a document provided by the department, if relevant, when conducting activities pursuant to this license.
- **6. GC Licensee Shall Notify of Change of Address** The licensee shall notify the Special Licenses Unit in writing, by mail or email, within five (5) days of the official change of residence.
- 7. GC Licensee is Liable for Designated Agents If designated agents are authorized pursuant to this license, the licensee shall be liable and responsible for any activities conducted by designated agents pursuant to this license or any actions by designated agents resulting from activities authorized by this license.
- **8. GC Licensee Renewal** The licensee shall submit a written request for the renewal of this license prior to the expiration date listed on the license. The licensee shall include accurate and complete copies of any required reports with their renewal request. This renewal paperwork shall be sent to:

NYSDEC Special Licenses Unit 625 Broadway Albany, NY 12233-4752.

This license is deemed expired on the date of expiration listed on the license.

NOTIFICATION OF OTHER LICENSEE OBLIGATIONS

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MN- Licensee is Liable

The licensee shall be liable and responsible for any activities conducted under the authority of this license or any actions resulting from activities authorized by the license.

MN – Access by Law Enforcement

The licensee shall allow representatives of the NYS DEC Division of Law Enforcement to enter the licensed premises to inspect his or her operations and records for compliance with license conditions.

Trespassing Prohibited

This license is not a license to trespass. The licensee shall obtain permission from the appropriate landowner/land manager prior to conducting activities authorized pursuant to this license

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From: D"Ambrosio, Andrea - APHIS
To: Therrien, Joseph E (DEC)
Subject: Re: Christie Scarupa-Presti

Date: Wednesday, March 8, 2017 9:12:05 PM

ATTENTION: This email came from an external source. Do not open attachments or click on links from unknown senders or unexpected emails.

Hi I was notified of both. It was due to natural causes. Thanks

Andrea D'Ambrosio Animal Care Inspector- Western NY

On Mar 8, 2017, at 4:39 PM, Therrien, Joseph E (DEC) < <u>ioseph.therrien@dec.ny.gov</u>> wrote:

We are just renewing their endangered species exhibition license. Christie has indicated on her renewal application that one chimpanzee and one ring-tailed lemur have died during the 2016 year. Were you notified of this? Do you need any additional communication from Christie? I don't have much here on the application report just that they died, no date of death or reason of death provided.

-Joe

Joseph Therrien

Wildlife Biologist, Division of Fish, Wildlife and Marine Resources

New York State Department of Environmental Conservation 625 Broadway Albany NY 12233-4752 P: 518-402-8985 | F: 402-402-8925 | joseph.therrien@dec.ny.gov

www.dec.ny.gov | <image001.gif> | <image002.gif>

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Endangered/Threatened Species - Education/Exhibition # 285

LICENSE

Under the Environmental Conservation Law (ECL)

Licensee Information

License Issued To:

BHAGAVAN ANTLE TIGERS, THE INSTITUTE OF GREATLY ENDANGERED & RARE SPECIES

MYRTLE BEACH, SC 29588

COUNTY: ALBANY

REGION: 4

DEC Contact Information

DIVISION of FISH and WILDLIFE

SPECIAL LICENSES UNIT

625 BROADWAY, ALBANY, NEW YORK 12233-4752

PHONE: (518) 402-8985 FAX: (518) 402-8925

WEBSITE: http://www.dec.ny.gov

License Authorizations

Endangered/Threatened Species - Education/Exhibition

License # 285

New License Effective Date: 2/23/2015 Expiration Date: 2/22/2016

NYSDEC Approval

By acceptance of this license, the licensee agrees that the license is contingent upon strict compliance with the ECL, all applicable regulations, and all conditions included as part of this license.

License Regulations

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6 NYCRR Part 182 6 NYCRR Part 175 ECL 11-0537 ECL 11-0536 ECL 11-0535

ENDANGERED/THREATENED SPECIES - EDUCATION/EXHIBITION - LICENSE CONDITIONS

- 1. ETSEE Species Authorization Captive source The licensee is authorized to purchase, possess, transport and import the following species, that were obtained from legal sources, for educational and exhibition purposes:
- 3 male and 2 female Tiger (Panthera tigris), 2 female Chimpanzee (Pan troglodytes), 2 male and 2 female Leopard (Panthera pardus), 1 Cheetah (Acinonyx jubatus), 3 male and 1 female Orangutan (Pongo pygmaeus), 3 male and 2 female White-handed gibbon (Hylobates lar), 1 female African elephant (Loxodonta africana).
- **2. Definition Education** Educational shall mean possession and exhibition in order to teach or instruct about the characteristics, ecological role, or conservation needs of the listed fish or wildlife species, population or community.
- **3. Definition** Exhibition Exhibition shall mean regular public display or showing of the listed fish or wildlife species where the display itself is the chief object.
- 4. Live Animal Sale and Propagation Prohibited The licensee shall not sell or propagate the listed animals.
- **5.** Live Animal Collection of Animals from the Wild Prohibited This license does not authorize collection of animals from the wild in New York State.
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Draft License Page 2 of 5



- 11. Live Animal Compliance with General Municipal Law The licensee shall comply with all notifications required by the New York State General Municipal Law Section 209-cc for all listed animals subject to that law.
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- a. the licensee submits a written request to the NYSDEC Special Licenses Unit at the address listed on the front of this license containing the:
 - i) name
 - ii) address
 - iii) age
 - iv) phone number of the person he or she is nominating as a designated agent, and;
- b. the licensee receives an amended license from the Special Licenses Unit listing the designated agent he or she has nominated before that person can conduct activities authorized by this license.
- **17. Authorized Designated Agents** The following Designated Agents are authorized: Robert Johnson, Rajani Ferrante, Moksha Bybee, China York, and Cody Antle.
- **18.** Live Animal Mandatory Notification of Escape The licensee shall notify the Special Licenses Unit at (518) 402-8985
- within 24 (twenty-four) hours of the escape of any listed animal.
- 19. Live Animal Recapture of Escaped Animal The licensee shall make every reasonable attempt to recapture any listed animal that escapes.
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21. Education/Exhibition Reporting Requirement The licensee shall submit an accurate and complete Fish and Wildlife Education/Exhibition Report Form prior to the expiration date of this license. The licensee shall send this report to the NYSDEC Special Licenses Unit 625 Broadway, Albany, NY 12233-4752.

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- i. licensee provided materially false or inaccurate statements in his or her application, supporting documentation or on required reports;
- ii. failure by the licensee to comply with any terms or conditions of this license;
- iii. licensee exceeds the scope of the purpose or activities described in his or her application for this license:
- iv. licensee fails to comply with any provisions of the NYS Environmental Conservation Law, any other State or Federal laws or regulations of the department directly related to the licensed activity;
- v. licensee submits a check, money order or voucher for this license or application for this license that is subsequently returned to the department for insufficient funds or nonpayment after the license has been issued.
- **5. GC Licensee Shall Carry Copy of License** The licensee shall carry a copy of this license or a document provided by the department, if relevant, when conducting activities pursuant to this license.
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Endangered/Threatened Species - Education/Exhibition # 327

LICENSE

Under the Environmental Conservation Law (ECL)

Licensee Information

License Issued To:

PAMELA R ZOPPE

ROSAIRE ZOPPE CHIMPANZEES

SARASOTA, FL 34232

COUNTY: ALBANY

REGION: 4

DEC Contact Information

DIVISION of FISH and WILDLIFE

SPECIAL LICENSES UNIT

625 BROADWAY, ALBANY, NEW YORK 12233-4752

PHONE: (518) 402-8985 FAX: (518) 402-8925

WEBSITE: http://www.dec.ny.gov

License Authorizations

Endangered/Threatened Species - Education/Exhibition

License # 327

Renewal Effective Date: 12/6/2014 Expiration Date: 12/5/2015

NYSDEC Approval

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Draft License Page 2 of 5



- 12. Live Animal Safeguarding Public From Attack by Animal The licensee shall exercise due care in safeguarding the public from attack by any animal held pursuant to this license. Failure to do so is a crime pursuant to New York State Agriculture and Markets Law Article 26, Section 370 which provides that: any person owning, possessing or harboring a wild animal or reptile capable of inflicting bodily harm upon a human being, who shall fail to exercise due care in safeguarding the public from attack by such wild animal or reptile, is guilty of a misdemeanor, punishable by imprisonment for not more than one year, or by a fine of not more than five hundred dollars, or by both.
- 13. Live Animal Direct Contact Prohibition The licensee shall not allow any of the listed animals to have direct contact with the public. Only the licensee and his or her designated agents may have direct contact with the listed animals.
- 14. Live Animal Direct Contact Exception for Veterinarians and USDA Personnel The licensee may allow direct contact with animals held pursuant to this license only for veterinarians and veterinary interns providing medical care and for USDA personnel in the performance of their official duties.
- 15. Live Animal Mandatory Notification to Department of Health The licensee shall immediately notify the local Department of Health if any person is bitten or scratched by any animal held under this license.
- **16.** Live Animal Authority to Designate Agents The licensee is authorized to designate agents to assist the licensee with the listed animals at the licensee's facilities and while conducting programs pursuant to this license provided that:
- a. the licensee submits a written request to the NYSDEC Special Licenses Unit at the address listed on the front of this license containing the:
 - i) name
 - ii) address
 - iii) age
 - iv) phone number of the person he or she is nominating as a designated agent, and;
- b. the licensee receives an amended license from the Special Licenses Unit listing the designated agent he or she has nominated before that person can conduct activities authorized by this license.
- **17. Live Animal Mandatory Notification of Escape** The licensee shall notify the Special Licenses Unit at (518) 402-8985

within 24 (twenty-four) hours of the escape of any listed animal.

- 18. Live Animal Recapture of Escaped Animal The licensee shall make every reasonable attempt to recapture any listed animal that escapes.
- 19. Live Animal Animal Mortalities The licensee shall report any animal mortalities that are of unknown or suspected disease nature to the Special Licenses Unit (518) 402-8985 within twenty-four (24) hours of discovery or during normal business hours of the next business day.
- **20.** Education/Exhibition Reporting Requirement The licensee shall submit an accurate and complete Fish and Wildlife Education/Exhibition Report Form prior to the expiration date of this license. The licensee shall send this report to the NYSDEC Special Licenses Unit 625 Broadway, Albany, NY 12233-4752.

GENERAL CONDITIONS - Apply to ALL Authorized Licenses

Draft License Page 3 of 5



- 1. GC Licensee Shall Read All Conditions The licensee shall read all license conditions prior to conducting any activities authorized pursuant to this license.
- **2. GC License is Not Transferrable** This license is not transferrable and is valid only for the person identified as the licensee.
- 3. GC Licensee Responsible for Federal, State or Local Permits/Licenses The licensee is responsible for obtaining any and all necessary, corresponding Federal, State or local permits or licenses prior to conducting any activity authorized pursuant to this license.
- **4. GC Reasons for Revocation** This license may be revoked for any of the following reasons:
- i. licensee provided materially false or inaccurate statements in his or her application, supporting documentation or on required reports;
- ii. failure by the licensee to comply with any terms or conditions of this license;
- iii. licensee exceeds the scope of the purpose or activities described in his or her application for this license;
- iv. licensee fails to comply with any provisions of the NYS Environmental Conservation Law, any other State or Federal laws or regulations of the department directly related to the licensed activity;
- v. licensee submits a check, money order or voucher for this license or application for this license that is subsequently returned to the department for insufficient funds or nonpayment after the license has been issued.
- **5. GC Licensee Shall Carry Copy of License** The licensee shall carry a copy of this license or a document provided by the department, if relevant, when conducting activities pursuant to this license.
- **6. GC Licensee Shall Notify of Change of Address** The licensee shall notify the Special Licenses Unit in writing, by mail or email, within five (5) days of the official change of residence.
- 7. GC Licensee is Liable for Designated Agents If designated agents are authorized pursuant to this license, the licensee shall be liable and responsible for any activities conducted by designated agents pursuant to this license or any actions by designated agents resulting from activities authorized by this license.
- **8. GC Licensee Renewal** The licensee shall submit a written request for the renewal of this license prior to the expiration date listed on the license. The licensee shall include accurate and complete copies of any required reports with their renewal request. This renewal paperwork shall be sent to:

NYSDEC Special Licenses Unit 625 Broadway Albany, NY 12233-4752.

This license is deemed expired on the date of expiration listed on the license.

NOTIFICATION OF OTHER LICENSEE OBLIGATIONS

Draft License Page 4 of 5



Endangered/Threatened Species - Education/Exhibition # 327

MN- Licensee is Liable

The licensee shall be liable and responsible for any activities conducted under the authority of this license or any actions resulting from activities authorized by the license.

MN – Access by Law Enforcement

The licensee shall allow representatives of the NYS DEC Division of Law Enforcement to enter the licensed premises to inspect his or her operations and records for compliance with license conditions.

Trespassing Prohibited

This license is not a license to trespass. The licensee shall obtain permission from the appropriate landowner/land manager prior to conducting activities authorized pursuant to this license

Draft License Page 5 of 5



Endangered/Threatened Species - Education/Exhibition # 327

LICENSE

Under the Environmental Conservation Law (ECL)

Licensee Information

License Issued To:

PAMELA R ZOPPE

ROSAIRE ZOPPE CHIMPANZEES

SARASOTA, FL 34232

COUNTY: ALBANY

REGION: 4

DEC Contact Information

DIVISION of FISH and WILDLIFE

SPECIAL LICENSES UNIT

625 BROADWAY, ALBANY, NEW YORK 12233-4752

PHONE: (518) 402-8985 FAX: (518) 402-8925

WEBSITE: http://www.dec.ny.gov

License Authorizations

Endangered/Threatened Species - Education/Exhibition

License # 327

Renewal Effective Date: 12/6/2015 Expiration Date: 12/5/2016

NYSDEC Approval

By acceptance of this license, the licensee agrees that the license is contingent upon strict compliance with the ECL, all applicable regulations, and all conditions included as part of this license.

License Regulations

6 NYCRR Part 182

Draft License Page 1 of 5



6 NYCRR Part 175 ECL 11-0537 ECL 11-0536 ECL 11-0535

ENDANGERED/THREATENED SPECIES - EDUCATION/EXHIBITION - LICENSE CONDITIONS

- 1. ETSEE Species Authorization Captive source The licensee is authorized to purchase, possess, transport and import the following species, that were obtained from legal sources, for educational and exhibition purposes:
- 2 Chimpanzee (Pan troglodytes), 1 Ring-tailed lemur (Lemur catta).
- **2. Definition Education** Educational shall mean possession and exhibition in order to teach or instruct about the characteristics, ecological role, or conservation needs of the listed fish or wildlife species, population or community.
- **3. Definition** Exhibition Exhibition shall mean regular public display or showing of the listed fish or wildlife species where the display itself is the chief object.
- **4. Live Animal Collection of Animals from the Wild Prohibited** This license does not authorize collection of animals from the wild in New York State.
- 5. Live Animal Release of Listed Animal(s) to the Wild Prohibited The licensee shall not release the listed animals to the wild in New York State.
- **6.** Live Animal Addition or Replacement of Animals Without Written Authorization Prohibited The licensee shall not add additional animals or replace listed animals without a written amendment to this license.
- 7. Live Animal USDA License Requirement for Mammal Exhibition The licensee shall not exhibit listed mammals to the public except under a valid USDA Animal Welfare Act License.
- **8.** Live Animal Sale and Propagation Prohibited The licensee shall not sell or propagate the listed animals.
- 9. Live Animal Providing Care for Animal(s) The licensee shall provide food, water, care and caging facilities to ensure the physiological and psychological well-being of the listed animal(s).
- 10. Live Animal Facilities Requirement The licensee shall provide housing, holding and transport facilities designed and constructed to ensure that the listed animals cannot escape and to ensure that the public cannot come in contact with the listed animals.
- 11. Live Animal Compliance with General Municipal Law The licensee shall comply with all notifications required by the New York State General Municipal Law Section 209-cc for all listed animals subject to that law.

Draft License Page 2 of 5



- 12. Live Animal Safeguarding Public From Attack by Animal The licensee shall exercise due care in safeguarding the public from attack by any animal held pursuant to this license. Failure to do so is a crime pursuant to New York State Agriculture and Markets Law Article 26, Section 370 which provides that: any person owning, possessing or harboring a wild animal or reptile capable of inflicting bodily harm upon a human being, who shall fail to exercise due care in safeguarding the public from attack by such wild animal or reptile, is guilty of a misdemeanor, punishable by imprisonment for not more than one year, or by a fine of not more than five hundred dollars, or by both.
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- a. the licensee submits a written request to the NYSDEC Special Licenses Unit at the address listed on the front of this license containing the:
 - i) name
 - ii) address
 - iii) age
 - iv) phone number of the person he or she is nominating as a designated agent, and;
- b. the licensee receives an amended license from the Special Licenses Unit listing the designated agent he or she has nominated before that person can conduct activities authorized by this license.
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GENERAL CONDITIONS - Apply to ALL Authorized Licenses

Draft License Page 3 of 5



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- **4. GC Reasons for Revocation** This license may be revoked for any of the following reasons:
- i. licensee provided materially false or inaccurate statements in his or her application, supporting documentation or on required reports;
- ii. failure by the licensee to comply with any terms or conditions of this license;
- iii. licensee exceeds the scope of the purpose or activities described in his or her application for this license;
- iv. licensee fails to comply with any provisions of the NYS Environmental Conservation Law, any other State or Federal laws or regulations of the department directly related to the licensed activity;
- v. licensee submits a check, money order or voucher for this license or application for this license that is subsequently returned to the department for insufficient funds or nonpayment after the license has been issued.
- **5. GC Licensee Shall Carry Copy of License** The licensee shall carry a copy of this license or a document provided by the department, if relevant, when conducting activities pursuant to this license.
- **6. GC Licensee Shall Notify of Change of Address** The licensee shall notify the Special Licenses Unit in writing, by mail or email, within five (5) days of the official change of residence.
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NYSDEC Special Licenses Unit 625 Broadway Albany, NY 12233-4752.

This license is deemed expired on the date of expiration listed on the license.

NOTIFICATION OF OTHER LICENSEE OBLIGATIONS

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The licensee shall be liable and responsible for any activities conducted under the authority of this license or any

Draft License Page 4 of 5



actions resulting from activities authorized by the license.

MN – Access by Law Enforcement

The licensee shall allow representatives of the NYS DEC Division of Law Enforcement to enter the licensed premises to inspect his or her operations and records for compliance with license conditions.

Trespassing Prohibited

This license is not a license to trespass. The licensee shall obtain permission from the appropriate landowner/land manager prior to conducting activities authorized pursuant to this license

Draft License Page 5 of 5

New York State Department of Environmental Conservation



NOTICE OF LICENSE RENEWAL This is NOT a License

Date: 9/14/2015

PATRICK C LAVERY

GLOVERSVILLE, NY 12078

COUNTY: FULTON

REGION: 5

License Number: 384

#384

GCT 8-22/16

License Type: Endangered/Threatened Species -

Education/Exhibition

License Expiration Date: 12/7/2015

License Renewal Fee: \$.00

This "Notice of License Renewal" form is the form you must use to renew your current license. The completed Notice of License Renewal form along with all other required documents must be received by the Special Licenses Unit prior to the expiration date listed on the license.

To Renew Your Current License You Must:

- Step 1. Check the license renewal option on page 2 of this form that applies to your renewal.
- Step 2. Attach any required Annual Reports or other required documents to this form.
- Step 3. Enclose a check for the license fee (if required).
- Step 4. Sign and date this form.
- Step 5. Send the completed form, copies of the Annual Report or other documents as required by the license conditions on your current license, check for the license fee, and a signed and dated copy of this form, to the Special Licenses Unit at the address listed on page 3 of this form.

For additional information or if you have questions concerning the license renewal process, you can contact the Special Licenses Unit at:

Phone: (518) 402-8985 Fax: (518) 402-8925

Email: fwslu@gw.dec.state.ny.us

Web site: www.dec.ny.gov/permits/359.html

Step 1: Check the option that applies.			
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A.	I DO NOT WANT TO RENEW MY LICENSE: If you DO NOT want to renew your current license, please check the line below and follow the instructions: (check line and submit required forms).
V	I do not want to renew my current license identified on this form.
	(Please attach copies of your Annual Report or other documents as required by the license conditions on your current license. <u>If applicable, please include a description of the measures you will take to legally dispose of the live animals held under your current license.</u>)
В.	I WANT TO RENEW MY LICENSE WITH NO CHANGES: If you want to renew your current license with no changes, please complete the information requested below: (check line and submit required forms).
	I want to renew my current license with no changes.
	I hereby certify that the information submitted on my original application for the license identified on this form is correct: Yes, No.
	(Please attach copies of your Annual Report or other documents as required by the license conditions on your current license.)
C.	I WANT TO RENEW MY LICENSE WITH CHANGES: If you want to renew your current license with any changes, (such as an address change, adding or removing an animal, changing a study design or similar changes), you must provide a detailed description of the changes you are requesting in the space provided below or you may attach a letter to this form with a detailed description of the changes you are requesting. Please be advised that some requests for changes to the terms and conditions of your current license may require you to complete a new application. If your request requires significant changes to your license, the Special Licenses Unit will send you a new application.
	I want to renew my current license and I am requesting the following changes to my new license.

License#: 384 License Type: Endangered/Threatened Species - Education/Exhibition
I AM REQUESTING THAT THE FOLLOWING CHANGES BE MADE TO MY NEW LICENSE. (Please attach additional sheets if necessary.)
Step 2. Attach copies of your Annual Report or other documents as required by the license conditions on your current license. Please read your license conditions and attach copies of any reports or other documents that are required.
Step 3. Attach a check or money order for your license fee (if required) payable to: New York State Department of Environmental Conservation (Please Do Not Send Cash).
Step 4. Sign and date the Notice at the bottom of this form.
Step 5. In order for the Special Licenses Unit to process your renewal request, you must send: 1) a complete signed and dated copy of this "Notice of License Renewal" form, 2) a copy of your Annual Report form or other documents as required by the license conditions on your current license, and 3) a check or money order for your license fee (if required). Please send this material prior to the expiration date listed on the license to:
NYSDEC Special Licenses Unit 625 Broadway Albany, NY 12233-4752
NOTICE: Pursuant to Environmental Conservation Law section 3-0301(2)(q), false statements made on this document are punishable pursuant to section 210.45 of the New York State Penal Code.
I certify that the information I provided on this document and the attached documents are correct to the best of my knowledge:
Signature of Licensee Date
Signature of Licensee / Date

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New York State Department of Environmental Conservation Division of Fish, Wildlife & Marine Resources – Special Licenses Unit 625 Broadway • Albany • NY 12233-4752 • Tel (518) 402-8985



Email: fwslu@gw.dec.state.ny.us • Website: http://www.dec.ny.gov/permits/359.html:

Fish and Wildlife Education/Exhibition Report Form

Please Refer to the Instructions before completing ti	nis report form			Enla	and Heart
1. Licensee's Last Name	First Name		MI	License Type and I	YUMber
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Street 1				7-1011-1	
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6. Facility Address (If applicable and different from above	, physical address where licensed ani	mals are housed)		<u> </u>	
City/Town Cour	nty <u>.</u>	Township		State Zip	code

Captive Animal Inventory

7. Common Name		of Lis	ıl	Age	8. Disposition	Disposition	Name and License Number of Licensee or Facility	9. Was the		
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Wild Collection Inventory

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REPORT	OF	EXHIB	MOITE	ACTIVITIES

15. Name of Group or Individual	Address/location of Activity	Date of acitivity	Contact Telephone
Patrick Lavery	S/oversville, 2.8 1207	7 May 30 - 9/, 2/15	
	,		
	· .		
			,

9. NOTICE: Prirsuant to ECL Section 3-0301(2)(Q) False state	ements made on this application are punishable pursuant to Section 210.45 of the	New York State Penal Code:
Signature Ally Awy	Date 9/20/15	



LICENSE

Under the Environmental Conservation Law (ECL)

Licensee Information

License Issued To: PATRICK C LAVERY

GLOVERSVILLE, NY 12078

COUNTY: FULTON

REGION: 5

DEC Contact Information

DIVISION OF FISH, WILDLIFE AND MARINE RESOURCES

SPECIAL LICENSES UNIT

625 BROADWAY, ALBANY, NEW YORK 12233-4752

PHONE: (518) 402-8985

FAX: (518) 402-8925

WEBSITE: www.dec.state.ny.us

License Authorizations

Endangered/Threatened Species - Education/Exhibition

License # 384

New License

Effective Date: 12/8/2014

Expiration Date: 12/7/2015

NYSDEC Approval

By acceptance of this license, the licensee agrees that the license is contingent upon strict compliance with the ECL, all applicable regulations, and all conditions included as part of this license.

License Regulations

6 NYCRR Part 182 6 NYCRR Part 175 ECL 11-0537

Issued License



ECL 11-0536 ECL 11-0535

ENDANGERED/THREATENED SPECIES - EDUCATION/EXHIBITION - LICENSE CONDITIONS

- 1. ETSEE Species Authorization Captive source The licensee is authorized to purchase, possess, transport and import the following species, that were obtained from legal sources, for educational and exhibition purposes:
- 1 Chimpanzee (Pan troglodytes).
- 2. **Definition Education** Educational shall mean possession and exhibition in order to teach or instruct about the characteristics, ecological role, or conservation needs of the listed fish or wildlife species, population or community.
- 3. **Definition Exhibition** Exhibition shall mean regular public display or showing of the listed fish or wildlife species where the display itself is the chief object.
- 4. Live Animal Sale and Propagation Prohibited The licensee shall not sell or propagate the listed animals.
- 5. Live Animal Collection of Animals from the Wild Prohibited This license does not authorize collection of animals from the wild in New York State.
- 6. Live Animal Release of Listed Animal(s) to the Wild Prohibited The licensee shall not release the listed animals to the wild in New York State.
- 7. Live Animal Addition or Replacement of Animals Without Written Authorization Prohibited
 The licensee shall not add additional animals or replace listed animals without a written amendment to this
 license.
- 8. Live Animal USDA License Requirement for Mammal Exhibition The licensee shall not exhibit listed mammals to the public except under a valid USDA Animal Welfare Act License.
- 9. Live Animal Providing Care for Animal(s) The licensee shall provide food, water, care and caging facilities to ensure the physiological and psychological well-being of the listed animal(s).
- 10. Live Animal Facilities Requirement The licensee shall provide housing, holding and transport facilities designed and constructed to ensure that the listed animals cannot escape and to ensure that the public cannot come in contact with the listed animals.
- 11. Live Animal Compliance with General Municipal Law The licensee shall comply with all notifications required by the New York State General Municipal Law Section 209-cc for all listed animals subject to that law.
- 12. Live Animal Safeguarding Public From Attack by Animal The licensee shall exercise due care in safeguarding the public from attack by any animal held pursuant to this license. Failure to do so is a crime pursuant to New York State Agriculture and Markets Law Article 26, Section 370 which provides that: any

Issued License Page 2 of 5



Endangered/Threatened Species - Education/Exhibition # 384

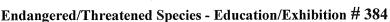
person owning, possessing or harboring a wild animal or reptile capable of inflicting bodily harm upon a human being, who shall fail to exercise due care in safeguarding the public from attack by such wild animal or reptile, is guilty of a misdemeanor, punishable by imprisonment for not more than one year, or by a fine of not more than five hundred dollars, or by both.

- 13. Live Animal Direct Contact Prohibition The licensee shall not allow any of the listed animals to have direct contact with the public. Only the licensee and his or her designated agents may have direct contact with the listed animals.
- 14. Live Animal Direct Contact Exception for Veterinarians and USDA Personnel The licensee may allow direct contact with animals held pursuant to this license only for veterinarians and veterinary interns providing medical care and for USDA personnel in the performance of their official duties.
- 15. Live Animal Mandatory Notification to Department of Health The licensee shall immediately notify the local Department of Health if any person is bitten or scratched by any animal held under this license.
- 16. Live Animal Authority to Designate Agents The licensee is authorized to designate agents to assist the licensee with the listed animals at the licensee's facilities and while conducting programs pursuant to this license provided that:
- a. the licensee submits a written request to the NYSDEC Special Licenses Unit at the address listed on the front of this license containing the:
 - i) name
 - ii) address
 - iii) age
 - iv) phone number of the person he or she is nominating as a designated agent, and;
- b. the licensee receives an amended license from the Special Licenses Unit listing the designated agent he or she has nominated before that person can conduct activities authorized by this license.
- 17. Authorized Designated Agents The following Designated Agents are authorized: Diane Lavery.
- 18. Live Animal Mandatory Notification of Escape The licensee shall notify the Special Licenses Unit at (518) 402-8985 within 24 (twenty-four) hours of the escape of any listed animal.
- 19. Live Animal Recapture of Escaped Animal The licensee shall make every reasonable attempt to recapture any listed animal that escapes.
- **20.** Live Animal Animal Mortalities The licensee shall report any animal mortalities that are of unknown or suspected disease nature to the Special Licenses Unit (518) 402-8985 within twenty-four (24) hours of discovery or during normal business hours of the next business day.
- **21.** Education/Exhibition Reporting Requirement The licensee shall submit an accurate and complete Fish and Wildlife Education/Exhibition Report Form prior to the expiration date of this license. The licensee shall send this report to the NYSDEC Special Licenses Unit 625 Broadway, Albany, NY 12233-4752.

GENERAL CONDITIONS - Apply to ALL Authorized Licenses

1. GC – Licensee Shall Read All Conditions The licensee shall read all license conditions prior to conducting any activities authorized pursuant to this license.

Issued License Page 3 of 5





- 2. GC License is Not Transferrable This license is not transferrable and is valid only for the person identified as the licensee.
- 3. GC Licensee Responsible for Federal, State or Local Permits/Licenses The licensee is responsible for obtaining any and all necessary, corresponding Federal, State or local permits or licenses prior to conducting any activity authorized pursuant to this license.
- 4. GC Reasons for Revocation This license may be revoked for any of the following reasons:
- i. licensee provided materially false or inaccurate statements in his or her application, supporting documentation or on required reports;
- ii. failure by the licensee to comply with any terms or conditions of this license;
- iii. licensee exceeds the scope of the purpose or activities described in his or her application for this license;
- iv. licensee fails to comply with any provisions of the NYS Environmental Conservation Law, any other State or Federal laws or regulations of the department directly related to the licensed activity;
- v. licensee submits a check, money order or voucher for this license or application for this license that is subsequently returned to the department for insufficient funds or nonpayment after the license has been issued.
- 5. GC Licensee Shall Carry Copy of License The licensee shall carry a copy of this license or a document provided by the department, if relevant, when conducting activities pursuant to this license.
- 6. GC Licensee Shall Notify of Change of Address The licensee shall notify the Special Licenses Unit in writing, by mail or email, within five (5) days of the official change of residence.
- 7. GC Licensee is Liable for Designated Agents If designated agents are authorized pursuant to this license, the licensee shall be liable and responsible for any activities conducted by designated agents pursuant to this license or any actions by designated agents resulting from activities authorized by this license.
- **8. GC Licensee Renewal** The licensee shall submit a written request for the renewal of this license prior to the expiration date listed on the license. The licensee shall include accurate and complete copies of any required reports with their renewal request. This renewal paperwork shall be sent to:

NYSDEC Special Licenses Unit 625 Broadway Albany, NY 12233-4752.

This license is deemed expired on the date of expiration listed on the license.

NOTIFICATION OF OTHER LICENSEE OBLIGATIONS

MN-Licensee is Liable

The licensee shall be liable and responsible for any activities conducted under the authority of this license or any actions resulting from activities authorized by the license.

Issued License Page 4 of 5

MN - Access by Law Enforcement

The licensee shall allow representatives of the NYS DEC Division of Law Enforcement to enter the licensed premises to inspect his or her operations and records for compliance with license conditions.

Trespassing Prohibited

This license is not a license to trespass. The licensee shall obtain permission from the appropriate landowner/land manager prior to conducting activities authorized pursuant to this license

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